



Docket No. 1344.1001/JIM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Masaki IWAMOTO et al.

Serial No.: 09/014,422

Group Art Unit: 2176

Filed: January 27, 1998

Examiner: C. Huynh

For: INTERACTIVE DATA ANALYSIS SUPPORT APPARATUS  
AND MEDIA ON WHICH IS RECORDED AN INTERACTIVE  
DATA ANALYSIS SUPPORT PROGRAM

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RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

This comes in response to the Notice of Non-Compliant Amendment dated August 15, 2001, in reference to the Response filed on August 8, 2001. The Applicant submits that this Notice was sent in error, and no correction need be taken by the Applicant. Please consider the following.

The Notice states, "The amendment does not include a marked-up version of the amended claim(s)."

No claims were amended in the Response filed. Page 1 clearly states, "none of the claims are amended." Further, page 6 of the Action includes a section entitled, "ATTACHMENT SO SHOW CHANGES MADE" and further states, "None of the claims are amended herein. Nevertheless, for the convenience of the Examiner, all of the pending claims are reproduced below."

Since this Notice was sent in error, no fee is required by the Applicant.

In view of the above, it is respectfully submitted that no corrected Amendment need be filed, and an Office Action on the Amendment dated August 8, 2001, is requested.

Respectfully submitted,  
STAAS & HALSEY LLP

Date: September 10, 2001

By:   
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
09/014,422	01/27/98	IWAMOTO	1344-1001/JP

021171  
STAAS & HALSEY LLP  
700 11TH STREET, NW  
SUITE 500  
WASHINGTON DC 20001

TM31/0815

EXAMINER

HUYNH, C

ART UNIT

PAPER NUMBER

2176

DATE MAILED:

08/15/01

**Notice of Non-Compliant Amendment (37 CFR 1.121)**

The amendment filed on 08/08/01 is considered non-compliant because it has not been submitted in the format required under 37 CFR 1.121, as amended on September 8, 2000 (see 65 Fed. Reg. 54603, Sept. 8, 2000, and 1238 O.G. 77, Sept. 19, 2000).

- ☐ 1. The amendment does not include a clean version of the replacement paragraph(s)/section(s). 37 CFR 1.121(b)(1)(ii).
- ☐ 2. The amendment does not include a marked-up version of the replacement paragraph(s)/section(s). 37 CFR 1.121(b)(1)(iii).
- ☐ 3. The amendment does not include a clean version of the amended claim(s). 37 CFR 1.121(c)(1)(i).
- ☒ 4. The amendment does not include a marked-up version of the amended claim(s). 37 CFR 1.121(c)(1)(ii).
- ☐ 5. Other \_\_\_\_\_

☐ **PRELIMINARY AMENDMENT:** Unless applicant re-submits the preliminary amendment in compliance with revised 37 CFR 1.121 within ONE MONTH of the mail date of this letter, examination on the merits may commence without entry of the originally proposed preliminary amendment. This notice is not an action under 35 U.S.C. 132, and this ONE MONTH time limit is not extendable.

☐ **AMENDMENT AFTER NON-FINAL ACTION:** Since the above mentioned reply appears to be *bona fide*, applicant is given a TIME PERIOD of ONE (1) MONTH or THIRTY (30) DAYS from the mailing date of this notice, whichever is longer, within which to supply the omission or correction in order to avoid abandonment. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136(a).

For your convenience, attached to this correspondence is a copy of an informational flyer (MPEP Bookmark Bulletin on "Simplified Amendment Practice").

*Angela White*  
Legal Instruments Examiner  
8/15/01